

**Supplemental Application Data Sheet****Application Information**

Application number:: 09/896,831  
Filing Date:: 06/28/01  
Application Type:: Regular  
Subject Matter:: Utility  
Suggested Group Art Unit:: 3628  
CD-ROM or CD-R?: None  
Sequence submission?: None  
Computer Readable Form (CRF)?:: No  
Title:: METHOD AND APPARATUS FOR  
OFFERING, PRICING, AND SELLING  
SECURITIES OVER A NETWORK  
Attorney Docket Number:: 476172000100  
Request for Early Publication?: No  
Request for Non-Publication?: No  
Total Drawing Sheets:: 27  
Small Entity?: Yes  
Petition included?: No  
Secrecy Order in Parent Appl.?: No

**Applicant Information**

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
Status:: Full Capacity  
Given Name:: Calvin  
Middle Name:: B.  
Family Name:: GRIGSBY  
City of Residence:: Blackhawk  
State or Province of Residence:: CA  
Country of Residence:: US

Street of mailing address:: 2406 Saddleback Drive  
City of mailing address:: Blackhawk  
State or Province of mailing address:: CA  
Postal or Zip Code of mailing address:: 94506

**Correspondence Information**

Correspondence Customer Number:: 20872

**Representative Information**

Representative Customer Number:: 20872

**Domestic Priority Information**

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This application	An application claiming the benefit under 35 USC 119(e)	60/214,820	06/28/00

**Foreign Priority Information****Assignee Information**

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